



## 14.1 OUTCOMES OF AUDIT & RISK COMMITTEE MEETING 17 NOVEMBER 2017

**EXECUTIVE MEMBER:** KYLIE BENNETTS, DIRECTOR OFFICE OF THE CEO

**PREPARED BY:** JULIE SNOWDEN, CO-ORDINATOR RISK & ASSURANCE

### 1. PURPOSE

- 1.1 To bring to the attention of Council the matters addressed at the 17 November 2017 Audit & Risk Committee meeting.

### 2. EXECUTIVE SUMMARY

- 2.1 Council is required by the *Local Government Act 1989* to establish an Audit and Risk Committee (the Committee) as an advisory committee of Council. The Committee Charter, reviewed annually by Council, requires the Committee to meet five (5) times per year and to regularly report to Council. The most recent meeting of the Committee was held on 17 November 2017. This paper satisfies this reporting requirement.

### 3. RECOMMENDATION

That Council:

- 3.1 Notes the report outlining the matters addressed at the 17 November 2017 meeting of the Audit & Risk Committee.

### 4. KEY POINTS/ISSUES

- 4.1 Matters considered by the Committee at its 17 November 2017 meeting were:

#### 4.1.1 Chief Executive Officer's Report

The Committee noted the Chief Executive Officer's Reports (Issues 36, 37 & 38) and discussed the Organisational Strategy and related Change Plan.

#### 4.1.2 External Audit

The committee noted the financial sustainability report.

#### 4.1.3 Compliance Monitoring

The Committee noted the regular update on compliance matters.

#### 4.1.4 Risk Management

The Committee noted the quarterly update on risk management and reviewed and endorsed the updated Investments Policy, which was presented to at the Ordinary Council Meeting 7 February 2018.



#### 4.1.5 Assurance Activities Update

The Committee noted the status of the Internal Audit Recommendations Report, the status of the Internal Plan 2017/18 and the update on External Publications. They also endorsed the updated Internal Audit Charter, and discussed the findings of the Contract Management Review and the Privacy and Data Management Review.

#### 4.1.6 Supplementary Reports

The Committee noted an update on the tracking of implementation actions for the Child Safe Standards project.

#### 4.1.7 Performance Evaluation

The Committee noted the results of the annual Self-Assessment Survey completed by the external members recommending that future surveys also be completed by the Councillor representatives.

#### 4.1.8 Membership Expirations

The Committee nominated Helen Lanyon for the role of Chair of the Audit and Risk Committee in 2018, which was presented at the Ordinary Council Meeting 6 December 2017.

#### 4.1.9 Minutes

A full copy of the minutes of the 17 November 2017 Audit and Risk Committee meeting is included as attachment 1.

## 5. ALIGNMENT TO COUNCIL PLAN AND COUNCIL POLICY

- 5.1 Our commitment to you through improving community engagement, advocacy, transparency and governance.

## 6. OFFICER DIRECT OR INDIRECT INTEREST

- 6.1 No officers involved in the preparation of this report have any direct or indirect interest in the matter.

**TRIM FILE NO:** 98/01/13

**ATTACHMENTS** 1. Minutes 17 November 2017 - Audit and Risk Committee Meeting